

# Mediating Ribosomal Competition by Splitting Pools

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Abstract—Synthetic biology constructs often rely upon the introduction of "circuit" genes into host cells, in order to express novel proteins and thus endow the host with a desired behavior. The expression of these new genes "consumes" existing resources in the cell, such as ATP, RNA polymerase, amino acids, and ribosomes. Ribosomal competition among strands of mRNA may be described by a system of nonlinear ODEs called the Ribosomal Flow Model (RFM). The competition for resources between host and circuit genes can be ameliorated by splitting the ribosome pool by use of orthogonal ribosomes, where the circuit genes are exclusively translated by mutated ribosomes. In this letter, the RFM system is extended to include orthogonal ribosome competition. This Orthogonal Ribosomal Flow Model (ORFM) is proven to be stable through the use of Robust Lyapunov Functions. The optimization problem of maximizing the weighted protein translation rate by adjusting allocation of ribosomal species is formulated.

Index Terms—Synthetic biology, orthogonal ribosome flow model, robust Lyapunov functions, translation rate optimization.

# I. INTRODUCTION

THE PROCESS of protein expression is mediated by ribosomes. After a gene in DNA has been transcribed into messenger RNA (mRNA), a ribosome binds to the mRNA and begins protein translation. The mRNA is divided into a set of 3-nucleotide segments called codons, and each codon corresponds to an amino acid or a stop instruction. The ribosome attracts a tRNA carrying an amino acid which matches the currently read codon, and appends it to a growing polypeptide chain. Once the ribosome hits a stop codon, it falls off the mRNA and releases the amino acid chain as a polypeptide, which is subsequently post-translationally processed into a final protein product. The mRNAs remain in the cell until

Manuscript received August 28, 2020; revised October 26, 2020; accepted November 15, 2020. Date of publication November 30, 2020; date of current version December 22, 2020. This work was supported by the NSF under Grant 1849588 and Grant 1716623. Recommended by Senior Editor M. Arcak. (Corresponding author: Eduardo D. Sontag.) Jared Miller and M. Ali Al-Radhawi are with the Department of Electrical and Computer Engineering, Northeastern University, Boston, MA 02115 USA (e-mail: miller.jare@northeastern.edu; malirdwi@northeastern.edu).

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Digital Object Identifier 10.1109/LCSYS.2020.3041213

they are degraded or destroyed (such as by small interfering RNA). Intact mRNAs continually attract ribosomes and produce protein, and all mRNAs in the cell compete for a finite pool of resources which includes ribosomes. A substantial literature exists on the problem of gene expression burden including ribosome competition; see for example the many references reviewed in [1]. When new (circuit) genes are implanted into a cell, the circuit genes compete for ribosomes with the original (host) genes. One way to decouple host and circuit genes is to split the pool of resources via orthogonal ribosomes [2], [3], [4]. Orthogonal ribosomes are mutated ribosomes which only translate specifically modified circuit genes. One such construction replaces the 16S-rRNA (component of small ribosomal subunit) in E. coli with a synthetic version. The circuit's mRNAs binding sites are designed so that only mutated ribosomes will translate circuit mRNAs; host ribosomes are not attracted to the circuit mRNAs [5]. Orthogonal ribosomes may be able to decrease competition and increase protein throughput by splitting the pool.

We present the Orthogonal Ribosomal Flow Model (ORFM) extending existing RFM network models with ribosome competition. Global asymptotic stability of the ORFM is certified by means of Robust Lyapunov Functions. A simple bisection algorithm is detailed to compute the unique ORFM steady state. The protein throughput of the system can be changed by adjusting the production rate of different species of ribosomes.

The structure of this letter is as follows: Section II reviews existing models for protein translation and ribosome competition. Section III introduces the ORFM. Section IV presents an optimization problem to maximize protein throughput. Section V adds a feedback controller to regulate production of ribosomes. Section VI concludes this letter. The stability of the ORFM is proved in the Appendix.

# II. RIBOSOMAL FLOW MODELS (RFMS)

#### A. Single-Strand Translation Models

The RFM [6] is a deterministic mean-field approximation to lattice models of steady-state ribosome distribution on mRNAs [7], [8]. Each codon on the length-n mRNA has a normalized ribosomal density  $x_j(t) \in [0, 1]$  for  $j = 1, \ldots, n$ , which one may think of as the probability that a ribosome is present on codon j at time t. Transition rates between codons are denoted here as  $\lambda_j$ . The initiation rate  $\lambda_0$  is the rate at which the mRNA attracts the ribosome to begin translation, while the  $\lambda_{j < n}$  are elongation rates and represent the amount of time required for the ribosome to attract the codon's respective tRNA. Finally,  $\lambda_n$  is the rate at which ribosomes separate from the mRNA and release the completed polypeptide chain. The

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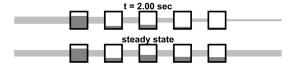


Fig. 1. A pictorial representation of the inflow, outflow, and densities of a 5-codon RFMIO at times t = 2 and  $\infty$ .

## **Algorithm 1:** RFMIO Steady State (From [9])

```
input: Rates \lambda, Constant Input u output: Codon Steady States e_j \mu_j = 1/\sqrt{\lambda_j} for j = 0, \dots, n J = \mathbf{tridiag}(\mathbf{0}_{n+2}, \mu) \sigma = \sigma_{max}(J), \ \zeta = \nu_{max}(J) e_j = \frac{\mu_j}{\sigma} \frac{\zeta_{j+1}}{\zeta_{j+1}} for j = 1 \dots n
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quantity  $y = \lambda_n x_n$  is the rate (protein/time) at which protein is produced by the mRNA. An RFMIO (input/output) is a tridiagonal polynomial single-input single-output system

$$\dot{x}_1 = \lambda_0 u (1 - x_1) - \lambda_1 x_1 (1 - x_2) 
\dot{x}_j = \lambda_{j-1} x_{j-1} (1 - x_j) - \lambda_j x_j (1 - x_{j+1}), 1 < j < n 
\dot{x}_n = \lambda_{n-1} x_{n-1} (1 - x_n) - \lambda_n x_n 
y = \lambda_n x_n.$$
(1)

The output y is the translation rate, and the input u is the rate at which new ribosomes become available. The probability that codon j is empty is  $x_j$ , and the probability that codon j+1 is empty is  $1-x_{j+1}$ . The likelihood that ribosomes flow from codons j to j+1 is proportional to  $x_j(1-x_{j+1})$ , which is the density relation times the elongation rate in (1).

For a constant input u > 0, there is a unique steady-state in  $[0,1]^n$  for system (1), which we denote as e. Figure 1 shows the steady state of an 5-codon RFM. Each codon is a black box, and  $x_i$  is the filled proportion of each codon. Ribosomes flow from left to right, and the bars between codons show the flux rates  $\lambda_j x_j (1 - x_{j+1})$  (which must be all equal, as is clear from the equations). The steady state codon occupancies  $e_i$  and output  $y = \lambda_n e_n$  may be computed by solving a finite continued fraction, which results in a polynomial equation of degree (n+1)/2 [6]. A spectral formulation for the constant uwas presented by Poker et al., and is reviewed in Algorithm 1. The operator **tridiag**( $\alpha$ ,  $\beta$ ) for  $\alpha \in \mathbb{R}^b$ ,  $\beta \in \mathbb{R}^{b-1}$  produces a symmetric  $b \times b$  tridiagonal matrix with main diagonal  $\alpha$  and 1-off-diagonals  $\beta$ . For a square symmetric matrix M,  $\sigma_{max}(M)$ and  $v_{max}(M)$  are its largest eigenvalue and respective eigenvector. The vector  $\zeta$  is the Perron-Frobenius eigenvector of the Jacobi matrix formed by the rates  $\lambda$  (dominant eigenvector with nonnegative entries).

The RFM system is a monotone control system. The set of admissible controls  $u \in \mathcal{U}$  is the set of bounded and measurable functions  $u \in \mathbb{R}_+ \to \mathbb{R}_+$ . The RFM is state and output-controllable, and desired translation rates and patterns can be achieved by proper choice of u and  $\lambda$  [10].

# B. Ribosomal Competition

In real genetic systems, all mRNA's in the cell compete for a finite (and possibly time-varying) number of ribosomes. Particularly slow transition rates  $\lambda$  on codons can lead to strands of mRNA hoarding ribosomes, reducing the availability of ribosomes in the pool for all other mRNA's and leading to a globally depressed translation rate.

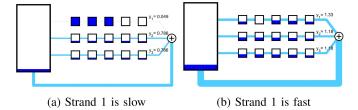


Fig. 2. RFM competition at steady state.

Raveh *et al.* introduced a ribosomal flow model network with a pool (RFMNP) to abstractly describe the impact of ribosomal competition with multiple strands of mRNA [11]. Each of the *s* strands of mRNA is modeled by a RFMIO  $(x_j^i)$  for codon *j* of mRNA *i*), and all RFMIO are connected to a common pool *z*. The total number of ribosomes in the system  $N = z(t) + \sum_{i,j} x_j^i(t)$  is conserved. The input *u* of each mRNA is an increasing saturation function  $G^i(z)$  (commonly *z* or tanh(z)), which describes the likelihood that a ribosome from the pool will attach itself to strand *i*. The translation rate  $y^i = \lambda_n^i x_n^i$  is the output, and ribosomes leaving  $x_i$  return to the pool. The pool dynamics are therefore

$$\dot{z} = \sum_{i} \lambda_{n}^{i} x_{n}^{i} - \sum_{i} \lambda_{0}^{i} G^{i}(z) (1 - x_{1}^{i}). \tag{2}$$

The RFMNP is a closed loop system. The number of ribosomes N defines a stoichiometric class, and RFMNP has a globally asymptotic equilibrium point with all  $e_j^i \in (0, 1)$  and  $z \in (0, N)$  for each N. Stability can be proven by contraction, where the weighted  $L_1$  norm between trajectories is non-expanding over time [11].

Competition effects can be observed by perturbing parameters  $\lambda_j^i$  and analyzing resultant steady states. If  $\lambda_j^i$  changes for a specific strand of mRNA  $x^i$ , then the protein translation rate  $y^i$  will change in that same direction (increasing  $\lambda_j^i$  will increase  $y_j$ ). The translation rate of all other mRNA in the network will change uniformly: an increase in  $\lambda_j^i$  will raise  $y^i$  and will either raise or lower all  $y^{k \neq i}$ . Further discussion on competition effects can be found in [11, Sec. 4.2].

Fig. 2 shows two examples of competition effects in RFMNPs where each of the three strands of mRNA have five codons each. When all strands have homogenous transition rates of  $\lambda_s^i = 5$  and saturation functions  $G^i(z) = \tanh(z)$ , each mRNA has a protein translation rate of  $y_{ss}^{1:3} = 1.15$  with N = 5 ribosomes. In Fig. 2(a), ribosomes cannot easily pass from codons 4 to 5 because  $\lambda_s^1 = 0.05$ . This bottleneck drops the translation efficiency of strand 1 to  $y_{ss}^1 = 0.049$  and the others to  $y_{ss}^{2:3} = 0.789$ . Fig. 2(b) modifies strand 1 to  $\lambda_s^1 = 40$ . Ribosomes quickly exit strand 1 and enter the pool again for use in further translation. This improves the translation efficiency of all strands, raising  $y_{ss}^1 = 1.33$  and  $y_{ss}^{2:3} = 1.18$ .

#### III. ORTHOGONAL RIBOSOMAL FLOW MODEL

Orthogonal ribosomes can be added to the RFM scheme (ORFM) by splitting the ribosome pool z. Code for ORFM simulation and visualization is publicly available online at https://gitlab.com/jarmill/Ribosomes.

# A. ORFM Formulation

The proposed model of ribosome translation has M species of ribosomes. Each ribosome species has a pool  $z_p$  for

# Algorithm 2: ORFM Steady State

input: 
$$\lambda^{pi}$$
,  $N$ ,  $G^{pi}$ ,  $K_p$ ,  $\epsilon$ 
output: Steady States  $z_E$ ,  $z_p$ ,  $e_j^{pi}$ 
 $\bar{N}_{min} = 0$ ,  $\bar{N}_{max} = N$ 
repeat

$$\begin{vmatrix}
\bar{N}_{mid} = (\bar{N}_{max} + \bar{N}_{min})/2 \\
z_E = \frac{\bar{N}_{mid}}{1 + \sum_p K_p} & z_p = \frac{K_p \bar{N}_{mid}}{1 + \sum_p K_p} \\
e^{pi} = \text{RFMIO SS}(\lambda^{pi}, G^{pi}(z_p)) \text{ (Algorithm 1 } \forall p, i)$$

$$N_{curr} = \bar{N}_{mid} + \sum_{p,i,j} e_j^{pi}$$
if  $N_{curr} \leq N$  then
$$|\bar{N}_{min} \rightarrow \bar{N}_{mid}|$$
else
$$|\bar{N}_{max} \rightarrow \bar{N}_{mid}|$$
end
until  $|N_{curr} - N| \leq \epsilon$ ;

p = 1, ..., M of available ribosomes. Strands of mRNA that use ribosome type p form an RFMNP with pool  $z_p$ .

Ribosomes of type p are formed by the combination of a 16S-rRNA of type p and the remaining ribosome components. The protein backbone and large ribosomal subunit are treated as an 'Empty' ribosome E, which has no translation capacity on its own. Empty ribosomes bind with rRNA at the rate  $k_p^+$ , and the ribosomal complex dissociates at the rate  $k_p^-$ , assuming rRNA's abundance. These kinetics are inspired by Darlington et al.'s mass-action model of protein translation and cell metabolism [12]. The M pools of translating ribosomes  $z_p$  are each connected to the pool of empty ribosomes  $z_E$ . Each of the  $s_p$  strands of mRNA that obtain ribosomes from pool  $z_p$  will have a corresponding length  $n^{pi}$ . Copies of mRNA with identical initial conditions are represented as a single strand with multiplicity  $m^{pi}$ . The codon at location  $j \in 0, \ldots, n^{pi} - 1$  of RNA strand  $i \in 1, \ldots, s_p$  coming from pool p is  $x_i^{pi}$ . The constants of this system are the N ribosomes, binding rates  $k_p^+$  and  $k_p^-$ , and transition rates  $\lambda_j^{pi}$ . The total number of ribosomes N is a conserved quantity

$$N = z_E + \sum_{p=1}^{M} z_p + \sum_{p=1}^{M} \sum_{i=1}^{s_p} \left( m^{pi} \sum_{j=1}^{n^{pi}} x_j^{pi} \right).$$
 (3)

The translation dynamics are

$$\dot{z}_{E} = \sum_{p} k_{p}^{-} z_{p} - \sum_{p} k_{p}^{+} z_{E}$$

$$\dot{z}_{p} = k_{p}^{+} z_{E} - k_{p}^{-} z_{p} + \sum_{i} m^{pi} \lambda_{n^{pi}}^{pi} \lambda_{n^{pi}}^{pi}$$
(4a)

$$-\sum_{i} m^{pi} \lambda_{0}^{pi} (1 - x_{1}^{pi}) G^{pi}(z_{p})$$
 (4b)

$$\dot{x}_1^{pi} = \lambda_0^{pi} (1 - x_1^{pi}) G^{pi}(z_p) - \lambda_1^{pi} (1 - x_2^{pi}) x_1^{pi}$$
 (4c)

$$\dot{x}_{j}^{pi} = \lambda_{j-1}^{pi} (1 - x_{j}^{pi}) x_{j-1}^{pi} - \lambda_{j}^{pi} (1 - x_{j+1}^{pi}) x_{j}^{pi}$$
 (4d)

$$\dot{x}_{n^{pi}}^{pi} = \lambda_{n^{pi}-1}^{pi} (1 - x_{n^{pi}-1}^{pi}) x_{n^{pi}}^{pi} - \lambda_{n^{pi}}^{pi} x_{n^{pi}}^{pi}. \tag{4e}$$

Let  $\bar{N} = z_E + \sum_p z_p$  be the number of the pool ribosomes at steady state. For a fixed  $\bar{N}$ , the steady state pool occupancies  $z_p$ ,  $z_E$  can be obtained by solving the linear system  $0 = \dot{z}_p + \sum_{ij} m^{pi} \dot{x}_j^{pi}$ ,  $p = 1, \ldots, N_p$  where  $K_p = k_p^+/k_p^-$ 

$$z_p = K_p z_E, \qquad \bar{N} = z_E + \sum_p z_p, \tag{5}$$

Algorithm 2 computes an approximation to the steady-state of the general model by bisection on  $\bar{N}$ . It converges faster than numerical integration, which is a significant difference in

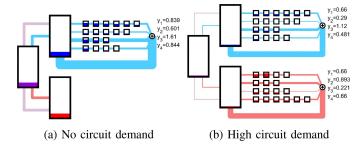


Fig. 3. Orthogonal RFM visualizations.

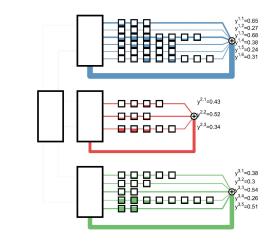


Fig. 4. ORFM with 3 ribosomal subspecies.

the optimization routine. A built-in MATLAB solver (fzero) may find a point that is outside the valid region.

Fig. 3 shows examples of mRNA competing for N=10 ribosomes, where each mRNA may take ribosomes from either the host pool (p=1, blue) or the circuit pool (p=2, red). The 'empty' ribosomes are displayed in purple in the third pool. In this network,  $k_p^+ = k_p^- = 1$ , so at steady state, the pool quantities  $z_1 = z_2 = z_E$ . In Figure 3(a) no mRNA takes ribosomes from the circuit pool  $z_2$ , so the ribosomes in  $z_2$  induce deadweight loss for the system.

Fig. 4 illustrates a general model orthogonal ribosomal system with pool across three ribosomal subspecies and N = 20. Now  $k_p^+ = k_p^- = 0.1$ , p = 1, 2, 3, so all pools will have an equal number of ribosomes at equilibrium (z = 0.286).

# B. Stability of the ORFM

The RFM has recently been studied by constructing explicit Robust Lyapunov Functions (RLFs) [13] via writing it as a Chemical Reaction Network (CRN) and utilizing relevant methods [14], [15]. Such techniques provide explicit formulae of Lyapunov functions for general kinetics (not limited to Mass-Action), and have an easy-to-use software package. In this subsection, we derive the stability of the ORFM model (4a)–(4e) via an RLF. Let  $x =: [x_j^{pi}]_{i,j,p} \in [0,1]^{N_c}$  be the vector of all codon occupancies, and  $N_c$  be the total number of codons. Let  $z := [z_1, \ldots, z_M, z_E]^T \in [0, N]^{M+1}$  be the vector of all pool occupancies. We therefore have:

Theorem 1: Consider the system (4a)–(4e). Then, (1) The function:

$$V(x, z) = \sum_{p,i} m^{pi} \sum_{j} |\dot{x}_{j}^{pi}| + \sum_{p} |\dot{z}_{p}| + |\dot{z}_{E}|,$$

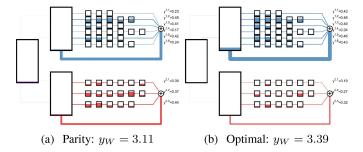


Fig. 5. Optimize the total protein output (w = 1).

is a (non-strict) Lyapunov function for any choice of  $\{\lambda_j^{p,i}\} > 0$  and monotone functions  $G^{pi}$ , and

(2) For any fixed total number of ribosomes in the system  $N_r > 0$ , there exists a unique positive globally asymptotically stable steady-state  $(x_e, z_e)$  for (4a)-(4e).

A proof of Theorem 1 is given in the Appendix. Alternatively, the system (4a)–(4e) can be transformed into a CRN, and Theorem 1 can be verified via the software package LEARN [16] for a *fixed* number of pools, strands, and codons.

#### IV. OPTIMIZATION

This section poses an optimization problem to maximize the weighted sum of protein production by mRNA. Optimization of protein throughput in a single strand of mRNA has previously been treated by Poker et al. in [9]. Given an ncodon mRNA with allowable choices of  $\lambda_i$  in a convex set, finding rates  $\lambda^*$  that maximize the throughput  $y = \lambda_n e_n$  is a concave optimization problem. This section adjusts rates K with constant  $\lambda$  to maximize a weighted-sum objective is  $y_w = \sum_{p,i} w^{pi} m^{pi} y^{pi}$ . Appropriate selection of the weights wcan specify particular proteins as desirable. As a problem setting, consider an ORFM with M species and N ribosomes. There will be M+1 pools:  $z_E$  for the empty ribosomes and  $z_p$ for the translating species. If there exists sufficient flexibility to adjust  $k_p^+ \in [k_p^{+,min}, k_p^{+,max}] > 0$  and  $k_p^- \in [k_p^{-,min}, k_p^{-,max}] > 0$ , then  $K_p \in [K_p^{min}, K_p^{max}] = \left[\frac{k_p^{+,min}}{k_p^{-,max}}, \frac{k_p^{+,max}}{k_p^{-,min}}\right]$ . It is assumed that  $0 < K_p^{min} \le K_p^{max} < \infty$  for each species p. For each point  $K = {K_p}_{p=1}^{M}$ , the weighted protein output  $y_w(K)$  can be obtained by finding the ORFM steady state with respect to K using Algorithm 2 and then evaluating  $y_w = \sum w^{pi} m^{pi} y^{pi}$ . An optimization problem to maximize  $y_w$  at steady state can therefore be formulated:

$$y_w^* = \max_K \sum_{p,i} w^{pi} m^{pi} y^{pi},$$
subject to:  $K_p \in [K_p^{min}, K_p^{max}] \quad \forall p = 1, \dots, M$ 
Steady state of dynamics in (4a)–(4e).

The search variables of problem (6) are  $K_p$ , and the steady states  $(z_E, z_p, e_i^{pi})$  are derived quantities of  $K_p$ .

Fig. 5 shows an ORFM with  $s_1 = 6$  and  $s_2 = 3$  strands with an objective of maximizing total protein output (w = 1). If all mRNA were connected to a common pool of ribosomes (RFMNP), the resultant output is  $y_w = 3.18$ . When K = [5, 5], then  $y_2 = 3.11$  as shown in Fig. 5(a). Solving the optimization problem in (6) with a grid search for  $K^{min} = \frac{1}{5}$  and  $K^{max} = 5$  results in the optimum rates  $K^* = [5, 0.734]$  and protein output  $y_w^* = 3.38$  in Fig. 5(b). The optimization landscape of  $y_w$  vs.  $(\log_{10}(K_1), \log_{10}(K_2))$  is displayed in Fig. 6.

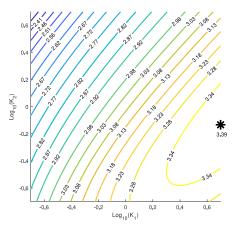


Fig. 6. Contours of total protein output (protein/sec).

#### A. Parameter Effects

This subsection analyzes the effects on properties of the ORFM steady state by incrementally changing a rate  $K_p$ . For a single strand  $x^{pi}$  taking ribosomes from pool  $z_p$ , the effective intake rate from Eq. (1) is  $\lambda_0 G^{pi}(z)$ . Define the throughput  $y^{pi}(z_p)$  as the protein output in strand pi given pool occupancy  $z_p$ , and  $N^{pi}(z_p) = \sum_{ij} e_j^{pi}$  as the ribosome occupancy of strand pi given  $z_p$ . Since  $G^{pi}(z)$  is monotonically increasing and through results in [9], the functions  $y^{pi}$  and  $N^{pi}$  are both nonnegative increasing functions of  $z_p$ . Let the weighted output  $y_{wp}(z_p) = \sum_i w^{pi} m^{pi} y^{pi}(z_p)$ , and the number of ribosomes of species p be  $N_p(z_p) = z_p + \sum_i m^{pi} N^{pi}(z_p)$  across all strands of species p. The total weighted output is  $y_w = \sum_p y_{wp}(z_p)$ , and the occupancy is

$$N = z_E + \sum_{p} z_p + \sum_{p,i,j} m^{pi} e_j^{ij} = z_E + \sum_{p} N_p(z_p). \quad (7)$$

As a shorthand let  $\partial = \partial_{K_p}$  for a chosen species p,  $N_p' = dN_p'/dz$ , and  $y_{wp}' = dy_{wp}/dz$ . From Eqs. (5) and (7)

$$0 = \partial(K_p z_E - z_p) = z_E + K_p \partial z_E - \partial z_p$$
 (8a)

$$0 = \partial(K_{p'}z_E - z_{p'}) = K_{p'}\partial z_E - \partial z_{p'}, \qquad \forall p' \neq p \quad (8b)$$

$$0 = \partial N = \partial z_E + \sum_{p'=1}^{M} \partial N_{p'}(z_{p'}). \tag{8c}$$

By the chain rule,  $\partial N_p(z_p) = N_p'(z_p) \partial z_p$ . The quantity  $\partial z_E$  can be found from Eqs. (8a), (8b), and (8c)

$$0 = \partial z_E + \sum_{p'=1}^{M} \partial z_p N_p'(z_p)$$
 (9a)

$$= \partial z_E + z_E N'_p(z_p) + \sum_{p'=1}^{M} K_{p'} N'_{p'}(z_{p'}) \partial z_E$$
 (9b)

$$\partial z_E = \frac{-z_E N_p'(z_p)}{1 + \sum_{p'=1}^M K_{p'} N_{p'}'(z_{p'})} < 0.$$
 (9c)

The change  $\partial z_E < 0$  because all values  $z_E, K_{p'}, N'_p(z_p) > 0$  over the valid range of K. Likewise  $\partial z_{p'} = K_{p'}\partial z_E < 0$  for  $p \neq p'$ . In contrast,

$$\partial z_p = z_E \left( 1 - \frac{K_p N_p'(z_p)}{1 + \sum_{p'=1}^M K_{p'} N_{p'}'(z_{p'})} \right) > 0.$$
 (10)

Plugging back into the chain rule,  $\partial N_p(z_p) = N_p'(z_p)\partial z_{p'} > 0$  and  $\partial N_{p'}(z_{p'}) = N_{p'}'(z_{p'})\partial z_{p'} < 0$  for  $p' \neq p$ . This is an intuitive conclusion: increasing the production rate of species p will increase the number of ribosomes of type p at the expense of all other species (including  $z_E$ ).

The change in objective  $y_w$  by increasing  $K_p$  is

$$\partial y_w = z_E y'_{wp}(z_{p'}) + \partial z_E \sum_{p'=1}^M \partial K_{p'} y'_{wp}(z_{p'}).$$
 (11)

The  $z_E$  term of (11) is > 0 while the  $\partial z_E$  term is < 0. The sign of  $\partial y_W$  may change over the valid region of K. Problem (6) is generically a non-concave problem in terms of K, and may feature more than one local maximum depending on the choice of W. Standard approaches of global optimization such as Grid Search, Bayesian Optimization, and Basin Hopping can be used to approximate  $K^*$ , where the cost function  $y_W(K)$  at each K is evaluated by Algorithm 2.

### V. Self-Inhibiting Feedback Controller

The introduced optimization framework adjusts the ribosomal production rates K to maximize the weighted protein output  $y_w$  (6) assuming perfect knowledge of systems and parameters. However, if the transition rates and the number of mRNA strands per pool are subject to changes or are not known a priori, we propose a controller inspired by [12] to regulate the expression of ribosomes by self-inhibiting feedback. For instance, the system in Fig. 3(b) with high circuit demand can be optimized by (6) to maximize the total amount of protein (w = 1). If the circuit demand drops as shown in Fig. 3(a), circuit ribosomes will be maintained without being used in translating protein (high  $K_2$ ). Changing the system demand would require re-optimization of K.

Alternatively, a feedback controller can be introduced to dynamically adjust the previously constant K, only producing ribosomes of species p when there exists corresponding demand. This can be accomplished by creating one distinguished mRNA with codon occupancies  $x^{pF}$  per species p. The new mRNA is translated into a protein  $F_p$ . The dynamics of the protein  $F_p$  with a degradation rate  $\delta_p$  is

$$\dot{F}_p = \lambda_n^{pF} x_n^{pF} - \delta_p F_p. \tag{12}$$

A Hill-like inhibition term can be used to suppress the creation of new ribosomes (based on [12, S1-(18)]). For a nominal ribosome creation rate  $k_p^{+0}$ , exponent  $\gamma_p$ , and constant  $F_p^0$ , the suppressed  $k_p^+$  is:  $k_p^+ = k_p^{+0}/(1 + F_p/F_p^0)^{\gamma_p}$ . In summary,  $z_p$  activates  $F_p$  while  $F_p$  inhibits  $z_p$ .

The controller is deemed to perform as desired if the number of circuit ribosomes adjusts according to the circuit demand. For instance, if the circuit is removed from the system, then  $F_p$ 's expression goes initially up, which represses  $k_p^+$  strongly and hence reduces the number of circuit ribosomes. If circuit genes are introduced, the new genes will compete with the inhibitor mRNA and the number of circuit ribosomes will increase.

Fig. 7 shows an example of an ORFM with 10 ribosomes, where the circuit pool has a feedback controller. The inhibitor protein  $F_2$  is translated from the golden two-codon mRNA with occupancies  $x^{2F}$  and rates  $\lambda^{2F} = [0.005, 5, 5]$ . The low initiation rate  $\lambda_0^F = 0.005$  allows other mRNA if present to take ribosomes from  $z_2$  first. The inhibitor parameters are  $F_2^0 = 1.5$ ,  $\gamma_2 = 4$ , and  $\delta_2 = 0.01$ . The golden sheath in Fig. 7 between  $z_2$  and  $z_E$  represents the nominal rate  $k_2^{+0}z_E$ , compared to the purple core's inhibited  $k_2^+z_E$ . With no circuit demand, the circuit ribosome creation rate in Fig. 7(a) falls from 1.393 to 0.669 as desired.

Let  $N_1 = z_1 + \sum_{i,j} x_j^{1i}$  be the number of host ribosomes (species 1), and  $y_w$  be the total non-inhibitory protein output (excluding  $F_2$ ). With no inhibitor and no circuit mRNA, the system in Fig. 3(a) has an expected  $N_1 = 7.63$  and

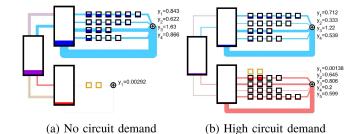


Fig. 7. ORFM with inhibitor protein  $F_2$ , at t = 5000.

 $y_w = 3.89$ . With the inhibitor in Fig. 7(a), the expected total number of host ribosomes rises to  $N_1 = 7.97$ ,  $y_w = 3.96$ . Fig. 3(b) has a high circuit demand and no inhibitor, and  $N_1 = 3.94$ ,  $y_w = 4.98$ . With an inhibitor in Fig. 7(b),  $N_1 = 4.41$ ,  $y_w = 5.06$ . At no circuit demand the steady state  $F_2 = 0.292$  units of inhibiting protein, and at high circuit demand  $F_2 = 0.138$  units.

### VI. CONCLUSION

Competition for finite resources are inevitable in protein translation. Orthogonal ribosomes have been developed to boost protein throughput by decoupling circuit genes from the host pool of ribosomes. We extended the existing RFM to orthogonal ribosomes, and generalized the system to an arbitrary number of ribosomal species. Stability results through RLFs and a simple algorithm to compute steady states were presented. Maximizing the weighted sum of protein throughput can be formulated as an optimization problem. A self inhibiting feedback controller can adjust ribosomal production as needed. Future work includes matching results with lab experiments and further development of the feedback controller. The problem of cross-talk in translation is discussed in an extended version of this letter [17].

# APPENDIX PROOF OF THEOREM 1

For simplicity, assume that  $s_p = s$ ,  $n^{p,i} = n$ , for all p, i. Also, assume  $m^{p,i} = 1$ , for all p, i. The argument for the general case will be the similar. Denote  $\sigma(x) := \operatorname{sgn}(x)$ .

To use the techniques in [13], [15], we lift (4a)-(4e) to a higher-dimensional space by defining the *vacancy*  $w_j^{p,i} := 1 - x_j^{pi}$ , for all j, i, p. Hence, terms of the form  $(1 - x_{j-1}^{pi})x_j^{pi}$  take the familiar Mass-Action form:  $w_{j-1}^{pi}x_j^{pi}$ . We will generalize this further by considering arbitrary *monotone* functions of the form  $R(w_{j-1}^{pi}, x_j^{pi})$ . Hence, we write (4a)-(4e) as:

$$\dot{z}_{E} = \sum_{p} R_{p}^{-}(z_{p}) - \sum_{p} R_{p}^{+}(z_{E}) 
\dot{z}_{p} = R_{p}^{+}(z_{E}) - R_{p}^{-}(z_{p}) + \sum_{i} (R_{n}^{pi}(x_{n}^{pi}) - R_{0}^{pi}(w_{1}^{pi}, z_{p})) 
\dot{x}_{1}^{pi} = R_{0}^{pi}(w_{1}^{pi}, z_{p}) - R_{1}^{pi}(w_{2}^{pi}, x_{1}^{pi}) 
\dot{x}_{j}^{pi} = R_{j-1}^{pi}(w_{j}^{pi}, x_{j-1}^{pi}) - R_{j}^{pi}(w_{j+1}^{pi}, x_{j}^{pi}) 
\dot{x}_{n}^{pi} = R_{n-1}^{pi}(w_{n}^{pi}, x_{n-1}^{pi}) - R_{n}^{pi}(x_{n}^{pi}).$$
(13)

We only assume that the rates  $R_j^{p,i}$ ,  $R_p^{\pm}$  are monotone w.r.t their reactants, see [13] for the full assumptions. Consider  $V(x,z) = \sum_{i,p,j} |\dot{x}_j^{pi}| + \sum_p |\dot{z}_p| + |\dot{z}_E|$ . We show

Consider  $V(x, z) = \sum_{i,p,j} |\dot{x}_j^{p_i}| + \sum_p |\dot{z}_p| + |\dot{z}_E|$ . We show that V is non-increasing. Using (13), note that the space can be partitioned into regions, and V is *linear* in *rates* on each region.

Fix an *open* region  $\mathcal{W}$  and write  $V = \sum_{p,i,j} \alpha_j^{pi} R_j^{pi}(x,z) + \sum_p \beta_p(R_p^-(z_p) - R_p^+(z_E))$  on  $\mathcal{W}$ . Note V is differentiable on  $\mathcal{W}$ , and the signs of the currents

Note  $\dot{V}$  is differentiable on  $\mathcal{W}$ , and the signs of the currents  $\dot{x}_j^{i}$ ,  $\dot{z}_p$ ,  $\dot{z}_E$  are constant on  $\mathcal{W}$ . We claim that the derivative of each term in V is non-positive. We show first that  $\alpha_j^{pi} \dot{R}_j^{pi} \leq 0$  for all p, i, j. We study three cases: j = 0, n, 0 < j < n. If  $j \neq 0, n$ , then  $R_j^{pi}$  appears in  $\dot{x}_j^{pi}, \dot{x}_{j+1}^{pi}$ . If both have the same sign on  $\mathcal{W}$ , then (13) implies  $\alpha_j^{pi} = 0$ . Therefore,  $\alpha_j^{pi} \neq 0$  implies  $\sigma(\alpha_j^{pi}) = -\sigma(\dot{x}_j^{pi}) = \sigma(\dot{x}_{j+1}^{pi}) = -\sigma(\dot{w}_{j+1}^{pi})$ . Hence,  $\alpha_j^{pi} \dot{R}_j^{pi} = \alpha_j^{pi} (\frac{\partial R_j^{pi}}{\partial x_j^{pi}} \dot{x}_j^{pi} + \frac{\partial R_j^{pi}}{\partial w_{j+1}^{pi}} \dot{w}_{j+1}^{pi}) \leq 0$  as claimed, where nonnegativity of the partial derivatives follows from monotonicity. Next, consider the case j = 0 where  $R_0^{pi}$  appears in two currents  $\dot{x}_1^{pi}, \dot{z}_p$ . Similar to the previous case, we conclude that if  $\alpha_0^{pi} \neq 0$  then  $\sigma(\alpha_0^{pi}) = -\sigma(z_p) = -\sigma(\dot{w}_1^{pi})$ . Since  $R_0^{pi}$  is a monotone function of  $w_1^{pi}, z_1^{pi}$ , then  $\alpha_0^{pi} \dot{R}_0^{pi} \leq 0$  as claimed. Finally, if j = n, similar analysis can be repeated to conclude that if  $\alpha_n^{pi} \neq 0$  then  $\sigma(\alpha_n) = -\sigma(x_n^{pi})$  and  $\alpha_n \dot{R}_n^{pi} \leq 0$  as claimed. Next, we want to show that  $\beta_p \dot{R}_p^{p} \leq 0$ . Fix p. Note that  $R_p^{p}$  appears in two currents:  $\dot{z}_p, \dot{z}_E$ . If they have the same sign then  $\beta_p = 0$ . If they have different signs then (13) implies that  $\sigma(\beta_p) = -\sigma(\dot{z}_p) = \sigma(\dot{z}_E)$ . Since  $R_p^{-}$  is a function of  $z_p$ , then  $\beta_p \dot{R}_p^{p} \leq 0$ . A similar argument can be replicated to show that  $-\beta_p \dot{R}_p^{+} \leq 0$ . Since  $\mathcal{W}$  and p, i, j were arbitrary, we conclude that  $\dot{V} \leq 0$  over the interior of all regions. The boundaries between the regions can be dealt with via the definition of the upper Dini's derivative, see [15]. This concludes the proof of the first statement of Theorem 1.

We proceed to prove part 2. The RLF utilized is nonstrict, hence it cannot yield Global Asymptotic Stability (GAS) directly. The LaSalle argument proposed in [15] can be used, but it is long. Alternatively, we will show that the Jacobian of (13) (reduced to the invariant space for a fixed  $N_r$ ) is robustly non-degenerate. This, coupled with the RLF, implies the GAS of any steady-state [13], [18].

To prove non-degeneracy, it has been shown in [13], [14] that the Jacobian J of (13) at any point in the space can be written as  $J = \sum_{\ell=1}^L \rho_\ell Q_\ell$  for some  $\rho_\ell \geq 0$ , rank-one matrices  $Q_\ell$  and some L. Here, L = M(2 + s(2n+1)). The coefficients  $\{\rho_\ell\}$  correspond to the partial derivatives of the rates  $\{R_j^{pl}\}$ ,  $\{R_p^{\pm}\}$ , while  $\{Q_\ell\}$  correspond to the network structure and are independent of the rates. Furthermore, it has been shown also [13] that the existence of an RLF implies that it sufficient to find *one positive point*  $\rho^* = (\rho_1^*, \ldots, \rho_L^*)$  for which the (reduced) Jacobian is non-degenerate to show that is non-degenerate for all  $\rho_\ell > 0$ . We will find that point next by studying the structure of the Jacobian.

Similar to a single pool [11, SI], it can be easily seen that J has non-negative off-diagonals and strictly negative diagonals (i.e., J is Metzler). In addition, conservation of the number of ribosomes implies that  $\mathbf{1}^T J = 0$ . By the definition of the Jacobian, all the entries in each column contain only the partial derivatives with respect to the state variable associated to the column. Hence, we can choose the corresponding  $\rho_\ell$ 's such the diagonal entry in each column is scaled to -1. Therefore, we consider the Jacobian evaluated at the chosen point  $\rho^*$  such that  $J^* = P - I$ , where I is the identity matrix and P a nonnegative irreducible column-stochastic matrix. By Perron-Frobenius Theorem, P has a maximal eigenvalue 1 with algebraic multiplicity 1. Therefore,  $J^*$  has a single eigenvalue

at 0 and the remaining eigenvalues have strictly negative real-parts. Hence, the reduced Jacobian at  $\rho^*$  is non-degenerate. Robust non-degeneracy and GAS follows.

The existence of a steady-state follows from Brouwer's fixed point theorem since (13) evolves in a compact space (for a fixed  $N_r > 0$ ), and uniqueness follows from non-degeneracy and GAS. The positivity of the steady-state follows from persistence of the ORFM which can be shown graphically by the absence of critical siphons [19].

#### ACKNOWLEDGMENT

Jared Miller thanks Prof. Mario Sznaier for his support and discussions.

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